

Caste-Based Electoral Politics in India: Threats to Social Justice and Democratic Governance

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ARTICLE DETAILS

Research Paper

Keywords:

Caste Politics, Social
Justice, Democratic
Governance, Electoral
Reforms, Constitutional
Morality

ABSTRACT

Caste-based politics remains one of the most pervasive and complex features of Indian democracy. While the Constitution of India envisions a society founded on equality and justice, the practical functioning of electoral democracy often reflects caste alignments and community-based mobilization. Political parties across the ideological spectrum use caste as a decisive factor in the distribution of tickets, coalition formation, and voter outreach. This paper explores the intricate relationship between caste and electoral politics in India and examines how caste-based mobilization undermines the constitutional ideals of social justice, equality, and fraternity. It further analyses the legal and ethical implications of caste-driven electoral practices and their impact on democratic governance.

Introduction

India's democracy, the largest in the world, was founded on the ideals of equality, liberty, and fraternity as enshrined in the Preamble to the Constitution. The framers envisioned a political structure where citizens would be treated equally, irrespective of caste, religion, gender, or economic status. However, despite seven decades of constitutional governance, caste continues to be a central element in political mobilization and electoral outcomes.

Caste, which historically determined social hierarchy and access to resources, has transformed in the modern era into a political identity. Political parties, rather than transcending caste divisions, have often reinforced them to secure electoral victories. This intersection of caste and politics poses serious challenges to the concept of democratic equality and the principles of social justice that the Constitution seeks to uphold.



Elections in India, instead of being purely issue-based, are frequently determined by caste arithmetic. Candidates are chosen based on their caste appeal, constituencies are segmented along caste lines, and voting behavior often reflects caste loyalties rather than rational political judgment. Consequently, the democratic process, though vibrant in participation, becomes limited in its ability to promote genuine representation and inclusive governance.

Historical and Constitutional Background

The origin of caste as a socio-political determinant in India can be traced to the ancient varna system, which gradually evolved into a rigid social order. During colonial rule, the British administration institutionalized caste identities through censuses, reservations, and preferential recruitment, thus solidifying caste consciousness in political and administrative spheres.

Post-independence, the Indian Constitution aimed to eradicate caste-based discrimination and promote equality. Articles 14, 15, 16, and 17 explicitly prohibit discrimination based on caste and guarantee equality before law. Article 15(4) and Article 16(4) further authorize the State to make special provisions for the advancement of socially and educationally backward classes.

Dr. B.R. Ambedkar, the principal architect of the Indian Constitution, recognized that political democracy would remain hollow unless accompanied by social and economic democracy. He warned that the persistence of caste-based inequality would hinder the realization of true freedom and equality. Nonetheless, the democratic process that followed independence saw the gradual politicization of caste identities. What was initially intended as a constitutional tool for social justice through affirmative action turned into a political mechanism for mobilization and power consolidation.

The Role of Caste in Electoral Politics

Caste plays a multifaceted role in India's electoral process. It influences candidate selection, party formation, voting behavior, and even the discourse of political campaigns.

- 1. Candidate Selection and Political Strategy: Political parties often determine their candidates based on the dominant caste composition of a constituency. This practice, known as "caste arithmetic," ensures that tickets are distributed to candidates who belong to the majority or influential caste groups within a given region. Consequently, electoral nominations become less about merit and more about caste-based winnability.
- **2.** Coalition Building and Vote-Bank Politics: Caste coalitions such as the Yadav-Muslim alliance in Uttar Pradesh or the KHAM (Kshatriya, Harijan, Adivasi, Muslim) formula in Gujarat are examples of



caste-based electoral strategies. These alliances are often temporary and opportunistic, designed to maximize votes rather than promote social cohesion or policy-based politics.

- **3. Voting Behavior:** Empirical studies by political scientists have shown that caste identity significantly influences voting decisions. Voters tend to support candidates from their own caste or community, perceiving them as protectors of their socio-economic interests. This phenomenon, termed "caste solidarity voting," weakens the rational and issue-based evaluation of candidates.
- **4. Caste-Based Political Parties:** Several regional parties have emerged explicitly representing caste interests. For instance, the Bahujan Samaj Party (BSP) champions the cause of Dalits and other marginalized communities, while parties like the Samajwadi Party (SP) and Rashtriya Janata Dal (RJD) derive their base largely from OBC castes such as Yadavs. Although these parties have empowered historically oppressed groups, they have also deepened caste divisions in the political sphere.
- **5. Reservation and Electoral Representation:** The reservation of seats for Scheduled Castes and Scheduled Tribes in Parliament and State Legislatures under Articles 330 and 332 was intended to ensure adequate representation of marginalized communities. However, over time, this system has been exploited by political actors to secure caste-based vote banks rather than promote substantive equality.

Caste, Populism, and the Decline of Issue-Based Politics

The caste factor has not only influenced political representation but has also led to the marginalization of issue-based politics. Policies related to education, healthcare, employment, and infrastructure often take a backseat to caste-centric promises and symbolic appearament. Election manifestos frequently include assurances of sub-categorization, separate quotas, or caste-based benefits, leading to a cycle of competitive populism.

This caste-based populism dilutes governance by diverting political focus from developmental policies to identity management. In turn, citizens begin to view the State as a benefactor of caste interests rather than a neutral guarantor of justice and equality. This distortion of the democratic process undermines both administrative efficiency and constitutional morality.

The Media and Caste Narratives

Media coverage of elections often amplifies caste narratives by analyzing "caste equations" in every constituency. Terms like "Dalit vote," "Brahmin consolidation," or "OBC swing" are routinely used, normalizing caste as a political category. This repetitive framing perpetuates caste consciousness and



conditions the electorate to think of politics in caste-based terms rather than as a platform for collective national progress.

Moreover, digital media and social platforms have become new battlegrounds for caste-based mobilization. Political campaigns increasingly target caste identities through micro-targeted advertisements and online groups, reinforcing old hierarchies in new forms.

Legal and Constitutional Implications of Caste-Based Electoral Politics

The Indian Constitution envisions a democratic republic founded on equality and justice. However, caste-based electoral practices have introduced contradictions within this constitutional framework. The issue lies not in the recognition of caste as a social reality but in its exploitation as a political instrument.

The Representation of the People Act, 1951 prohibits electoral practices that promote enmity or hatred on the basis of religion, race, caste, or community. Section 123(3) specifically designates appeals to vote or refrain from voting on caste grounds as "corrupt practices." Despite this, caste-based appeals are widespread in election campaigns. The Election Commission of India (ECI), though empowered to curb such violations, often finds itself limited in enforcement due to the subtle and coded language used by political actors.

From a constitutional perspective, such practices contradict the Preamble's vision of justice and equality. Article 14 ensures equality before law, while Article 15(1) and Article 16(2) prohibit discrimination on grounds of caste. However, Articles 15(4) and 16(4) permit affirmative action for backward classes. While these provisions were designed for social upliftment, political misuse of these affirmative frameworks for vote mobilization has undermined their original spirit.

In essence, caste-based politics tests the delicate balance between social justice and political equality. The State's obligation to provide special opportunities to the disadvantaged is legitimate, yet the politicization of caste identity for electoral gain corrodes the democratic ethos of neutrality, fairness, and public welfare.

Judicial Responses and Constitutional Morality

The Indian judiciary has consistently emphasized the need to uphold secular and non-discriminatory principles in the electoral process. Several landmark judgments of the Supreme Court have addressed the misuse of caste and religion in elections.

In Abhiram Singh v. C.D. Commachen (2017) 2 SCC 629, a seven-judge Constitution Bench of the Supreme Court held that candidates or their agents cannot seek votes in the name of religion, race, caste, community, or language. The Court interpreted Section 123(3) of the Representation of the People Act



broadly, stating that "an appeal to the voter to vote on the basis of the candidate's or voter's religion or caste would amount to a corrupt practice." This judgment reaffirmed the secular and egalitarian foundations of electoral democracy.

In Indra Sawhney v. Union of India (1992 Supp (3) SCC 217), popularly known as the Mandal Commission case, the Court upheld reservations for Other Backward Classes but also warned that excessive reliance on caste as a basis of policy could perpetuate divisions. The judgment stressed the need for balancing affirmative action with the constitutional goal of achieving a casteless and equal society.

Another important observation came in Keshavananda Bharati v. State of Kerala (1973) 4 SCC 225, where the Supreme Court declared that the "basic structure" of the Constitution includes equality, secularism, and democracy. Therefore, caste-based electoral manipulation, by violating these core principles, poses a threat to the basic structure itself.

The judiciary has also invoked the idea of constitutional morality, emphasizing that political actors must rise above narrow identities to preserve democratic integrity. Dr. B.R. Ambedkar's concept of constitutional morality implies adherence to the spirit rather than the mere letter of the Constitution. It demands that leaders and citizens respect equality, fraternity, and justice as guiding norms of political behavior.

Impact on Social Justice

The Indian Constitution's commitment to social justice aims to dismantle centuries-old caste hierarchies and promote inclusivity. However, the politicization of caste has distorted this transformative vision. Instead of uniting marginalized communities through empowerment, caste-based politics often results in fragmentation of solidarity among the oppressed themselves.

While affirmative action through reservations has produced positive outcomes in education and employment, its politicization in electoral discourse has shifted the focus from empowerment to entitlement. Political parties promise sub-categorization within Scheduled Castes or demand new inclusions in backward class lists to secure votes, thereby turning social justice into an electoral commodity.

This has several adverse implications:

1. **Erosion of Meritocracy:** Constant political emphasis on caste identity undermines individual merit and reinforces dependency on caste-based entitlement rather than ability or achievement.



- Social Division: Instead of bridging historical inequalities, caste politics accentuates divisions
 among lower and intermediate castes, leading to intra-community rivalry.
- 3. **Distrust in Institutions:** When government schemes or welfare programs are perceived as castedriven, it breeds mistrust in state institutions and weakens the legitimacy of governance.
- 4. **Neglect of Real Issues:** Economic inequality, unemployment, health care, and education receive less attention as political debates revolve around caste configurations rather than developmental priorities.

Thus, caste-based electoral politics transforms the principle of social justice from a constitutional ideal into a political strategy—diluting its moral and egalitarian essence.

Challenges to Democratic Governance

Democratic governance in India is premised upon participatory representation, accountability, and rule of law. However, caste-based politics undermines these core principles in multiple ways.

- 1. Undermining Representative Democracy: When candidates are chosen primarily on caste considerations, electoral contests become less about competence and more about identity. This leads to underrepresentation of talented and visionary leaders from less dominant groups and perpetuates patronage networks rather than merit-based governance.
- **2. Institutionalization of Patronage Politics:** Elected representatives often feel obligated to serve the narrow interests of their caste constituencies rather than the larger public. This fosters nepotism, favoritism, and policy bias, eroding the impartiality of state institutions.
- **3. Hindrance to National Integration:** Caste-based polarization during elections deepens social cleavages, promoting identity-based politics at the cost of national unity. It fragments the electorate and prevents the formation of a collective national consciousness based on shared constitutional values.
- **4. Corruption and Electoral Malpractice:** Caste-based alliances often involve distribution of favors, cash, or material incentives to secure loyalty. Such transactional politics not only distorts the electoral process but also promotes systemic corruption, diverting public funds from developmental purposes.
- **5. Weakening of Constitutional Morality:** The continuous reliance on caste calculations erodes the moral foundations of democracy. Leaders and voters alike begin to view politics as an instrument of community advancement rather than public service. This degeneration leads to democratic disillusionment and moral decay in public life.



The Way Forward: Towards Inclusive and Ethical Politics

To preserve the integrity of Indian democracy, it is essential to de-link caste from political opportunism while acknowledging its role in social reality. A multi-dimensional approach is needed:

- Strengthening Legal Enforcement: The Election Commission should be empowered with greater investigative and punitive powers to curb caste-based appeals and hate campaigns during elections.
 Strict enforcement of Section 123(3) of the Representation of the People Act is vital.
- Civic and Political Education: Voters must be sensitized to prioritize performance, policy, and
 ethics over caste affiliation. Educational curricula and media campaigns should promote civic
 responsibility and constitutional values.
- 3. **Internal Democracy in Political Parties:** Political parties should institutionalize merit-based selection processes. The Law Commission's recommendation for compulsory internal democracy and transparency in political funding should be implemented.
- 4. **Judicial Oversight and Accountability:** Courts should continue to interpret electoral laws in the light of constitutional morality and democratic ethics, ensuring that political practices do not compromise the spirit of equality.
- 5. **Social Transformation through Economic Justice:** True elimination of caste influence in politics requires the reduction of socio-economic disparities. Policies promoting equitable access to education, employment, and healthcare can reduce the dependence of citizens on caste-based political patronage.

Conclusion

Caste remains a deep-rooted social reality in India, and its intersection with politics reflects both historical continuity and democratic adaptation. While the Indian Constitution sought to eradicate caste-based discrimination, the political class has often exploited caste identities to gain power. Such practices not only undermine social justice but also corrode the ethical foundation of democracy.

The persistence of caste-based electoral politics demonstrates a paradox — democracy has empowered marginalized communities, yet it has also perpetuated divisions. The challenge, therefore, lies in transforming caste from a tool of political manipulation into a means of social empowerment and equality. Sustainable democratic governance requires a commitment to constitutional morality, where political

actors and citizens act with responsibility toward justice, equality, and fraternity. As Dr. B.R. Ambedkar rightly observed, "Political democracy cannot last unless there lies at the base of it social democracy."



Only when India transcends caste-driven politics and embraces the spirit of unity and equality will it fulfill the true promise of its Constitution.

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